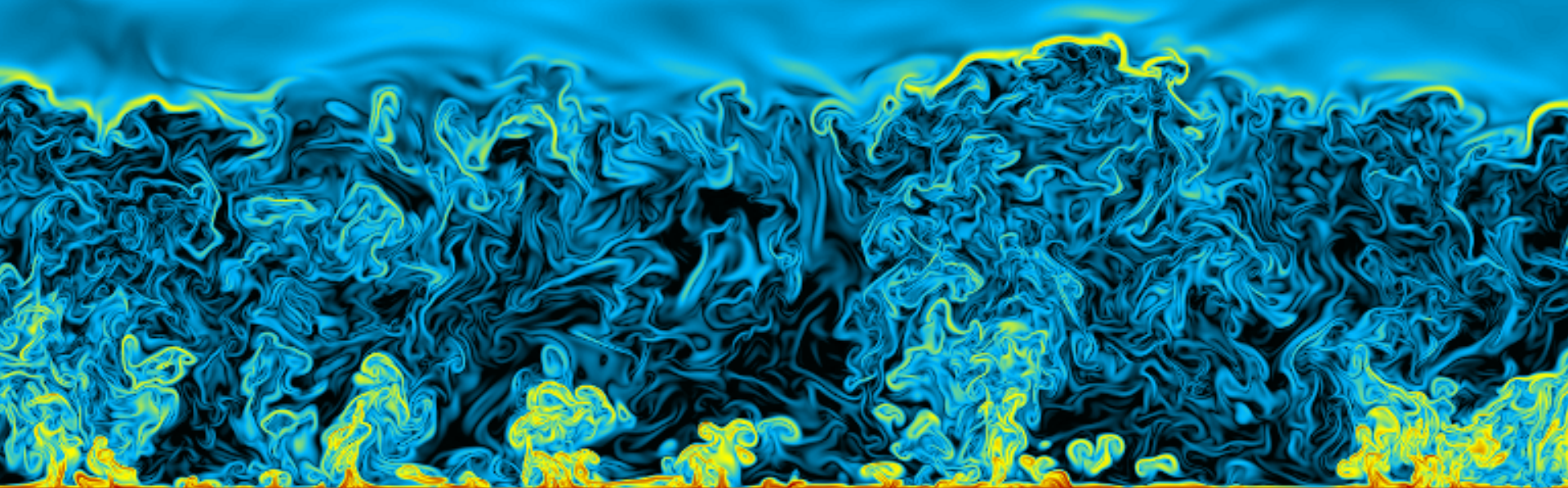


BOUNDARY LAYER METEOROLOGY



Prof. Ivana Stiperski, Dr. Manuela Lehner
Department of Atmospheric and Cryospheric Sciences

Chapter 6

Turbulence kinetic energy (TKE) & Dynamic Stability

Content

Chapter 6 (in script)

- TKE equation
- Stability Measures

Extra:

- TPE equation

Turbulence Kinetic Energy

- important variable characterizing turbulence (and hence PBL state)
- Recall: '1.5 order closure'
→ do not include *all* conservation equations for higher-order moments; but that for TKE..
- TKE is sum of velocity variances

Turbulence Kinetic Energy

Remember

→ conservation equation for higher moments:

$$\begin{aligned} \frac{\overline{\partial u_i'^2}}{\partial t} + \bar{u}_j \frac{\partial \overline{u_i'^2}}{\partial x_j} = & -2\overline{u_i' u_j'} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\overline{\partial u_j' u_i'^2}}{\partial x_j} \\ & + 2\delta_{i3} \overline{u_i' \left(\frac{\theta'}{\bar{\theta}} \right) g} + 2f_c \varepsilon_{ij3} \overline{u_i' u_j'} - \frac{2}{\bar{\rho}} \overline{u_i' \frac{\partial p'}{\partial x_i}} + 2\nu \overline{u_i' \frac{\partial^2 u_i'}{\partial x_j^2}} \end{aligned}$$

→ summed!

$$TKE = \frac{1}{2} \rho \overline{u_{ii}'^2}, \quad \bar{e} = TKE / \rho$$

→ conservation equation for TKE

TKE Equation

- simplifications
- terms
- interpretation

TKE Equation: Simplifications

$$\begin{aligned} \overline{\frac{\partial u_i'^2}{\partial t}} + \overline{u_j} \frac{\partial \overline{u_i'^2}}{\partial x_j} &= -2\overline{u_i' u_j'} \frac{\partial \overline{u_i}}{\partial x_j} - \overline{\frac{\partial u_j' u_i'^2}{\partial x_j}} \\ &+ 2\delta_{i3} \overline{u_i'} \left(\frac{\overline{\theta'}}{\overline{\theta}} \right) g + 2f_c \varepsilon_{ij3} \overline{u_i' u_j'} - \underline{\frac{2}{\overline{\rho}} \overline{u_i'} \frac{\partial p'}{\partial x_i}} + 2\nu \overline{u_i'} \frac{\partial^2 u_i'}{\partial x_j^2} \end{aligned}$$

1) pressure term:

= 0 (continuity equation)

holds: $\frac{\partial(u_i' p')}{\partial x_i} = u_i' \frac{\partial p'}{\partial x_i} + p' \frac{\partial u_i'}{\partial x_i}$

→ $-\frac{2}{\overline{\rho}} \overline{u_i'} \frac{\partial p'}{\partial x_i} = -\frac{2}{\overline{\rho}} \overline{\frac{\partial u_i' p'}{\partial x_i}}$ 'pressure transport term'

TKE Equation: Simplifications

$$\begin{aligned} \overline{\frac{\partial u_i'^2}{\partial t}} + \bar{u}_j \frac{\partial \overline{u_i'^2}}{\partial x_j} &= -\overline{2u_i' u_j'} \frac{\partial \bar{u}_i}{\partial x_j} - \overline{\frac{\partial u_j' u_i'^2}{\partial x_j}} \\ &+ 2\delta_{i3} \overline{u_i' \left(\frac{\theta'}{\theta} \right) g} + 2f_c \varepsilon_{ij3} \overline{u_i' u_j'} - \frac{2}{\bar{\rho}} \overline{u_i' \frac{\partial p'}{\partial x_j}} + \underline{\overline{2\nu u_i' \frac{\partial^2 u_i'}{\partial x_j^2}}} \end{aligned}$$

2) dissipation:

holds:
$$\frac{\partial^2 u_i'^2}{\partial x_j^2} = \frac{\partial}{\partial x_j} \left(2u_i' \frac{\partial u_i'}{\partial x_j} \right) = 2 \left(\frac{\partial u_i'}{\partial x_j} \right)^2 + 2u_i' \frac{\partial^2 u_i'}{\partial x_j^2}$$

therefore:
$$\underline{2\nu u_i' \frac{\partial^2 u_i'}{\partial x_j^2}} = \nu \frac{\partial^2 u_i'^2}{\partial x_j^2} - 2\nu \left(\frac{\partial u_i'}{\partial x_j} \right)^2$$

TKE Equation: Simplifications

therefore:

$$\underbrace{2\nu u'_i}_{\text{O}(10^{-10})} \frac{\partial^2 u'_i}{\partial x_j^2} = \underbrace{\nu \frac{\partial^2 u'^2_i}{\partial x_j^2}}_{\text{O}(10^{-10})} \ominus \underbrace{2\nu \left(\frac{\partial u'_i}{\partial x_j}\right)^2}_{\text{O}(10^{-3})}$$

→ Def:

$$\varepsilon =: \overline{\nu \left(\frac{\partial u'_i}{\partial x_j}\right)^2}$$

rate of dissipation of TKE

→ dissipation: conversion of TKE into heat
always: loss term
always negative!

Levi-Civita Symbol

$$\boxed{\varepsilon_{ijk}}$$

Permutation symbol:

→ = 1 if an even number of permutations is required to obtain an increasing sequence (1,2,3), (2,3,1), (3,1,2) [after max you restart with 1]

→ = - 1 if an odd number of permutations is required to obtain an increasing sequence (3,2,1), (2,1,3), (1,3,2)

→ = 0, otherwise (two indices have the same value)

TKE Equation: Simplifications

$$\begin{aligned} \frac{\overline{\partial u_i'^2}}{\partial t} + \bar{u}_j \frac{\overline{\partial u_i'^2}}{\partial x_j} &= -2\overline{u_i' u_j'} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\overline{\partial u_j' u_i'^2}}{\partial x_j} \\ &+ 2\delta_{i3} \overline{u_i' \left(\frac{\theta'}{\bar{\theta}} \right) g} + \cancel{2f_c \varepsilon_{ij3} \overline{u_i' u_j'}} - \frac{2}{\bar{\rho}} \overline{u_i' \frac{\partial p'}{\partial x_i}} + 2\nu \overline{u_i' \frac{\partial^2 u_i'}{\partial x_j^2}} \end{aligned}$$

3) Coriolis term:

holds: $2f_c \varepsilon_{ij3} \overline{u_i' u_j'} = 2f_c \varepsilon_{213} \overline{u_2' u_1'} + 2f_c \varepsilon_{123} \overline{u_1' u_2'}$ \rightarrow all other $e_{ij3}=0!$

$$\begin{aligned} &= -2f_c \overline{u_2' u_1'} + 2f_c \overline{u_1' u_2'} \\ &= 0 \end{aligned}$$

TKE Equation: Simplifications

$$\begin{aligned} \frac{\overline{\partial u_i'^2}}{\partial t} + \bar{u}_j \frac{\partial \overline{u_i'^2}}{\partial x_j} &= -2\overline{u_i' u_j'} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u_j' u_i'^2}}{\partial x_j} \\ &+ 2\delta_{i3} \overline{u_i' \left(\frac{\theta'}{\bar{\theta}} \right) g} + \cancel{2f_c \varepsilon_{ij3} \overline{u_i' u_j'}} - \frac{2}{\bar{\rho}} \overline{u_i' \frac{\partial p'}{\partial x_i}} + 2\nu \overline{u_i' \frac{\partial^2 u_i'}{\partial x_j^2}} \end{aligned}$$

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holds: $2f_c \varepsilon_{ij3} \overline{u_i' u_j'} = 2f_c \varepsilon_{213} \overline{u_2' u_1'} + 2f_c \varepsilon_{123} \overline{u_1' u_2'}$ \rightarrow all other $e_{ij3}=0!$

$$\begin{aligned} &= -2f_c \overline{u_2' u_1'} + 2f_c \overline{u_1' u_2'} \\ &= 0 \end{aligned}$$

TKE Equation

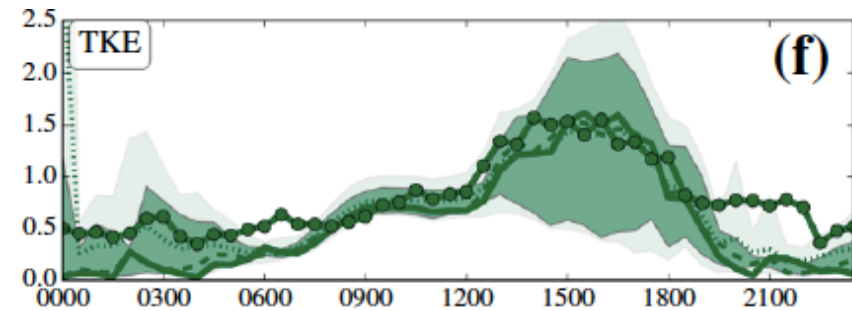
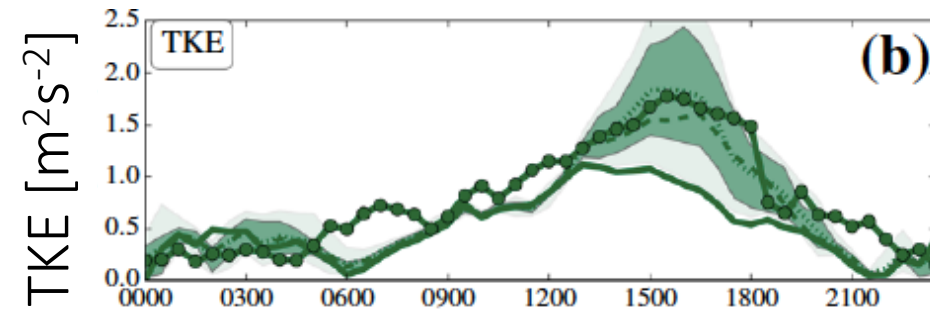
$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

I II III IV V VI VII

I: local temporal change: daily cycle!

TKE Equation: Daily Cycle

two sites in the Inn Valley



Goger et al 2018

line: COSMO (1 km) model and range
symbols : i-Box measurements

night: 'calm' $\rightarrow 0.1 - 0.5 \text{ m}^2\text{s}^{-2}$

day: $\rightarrow 1-10 \text{ m}^2\text{s}^{-2}$ (the latter is a storm)

TKE Equation

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

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I: local temporal change: daily cycle!

II: advection → little known....

→ generally thought to be small

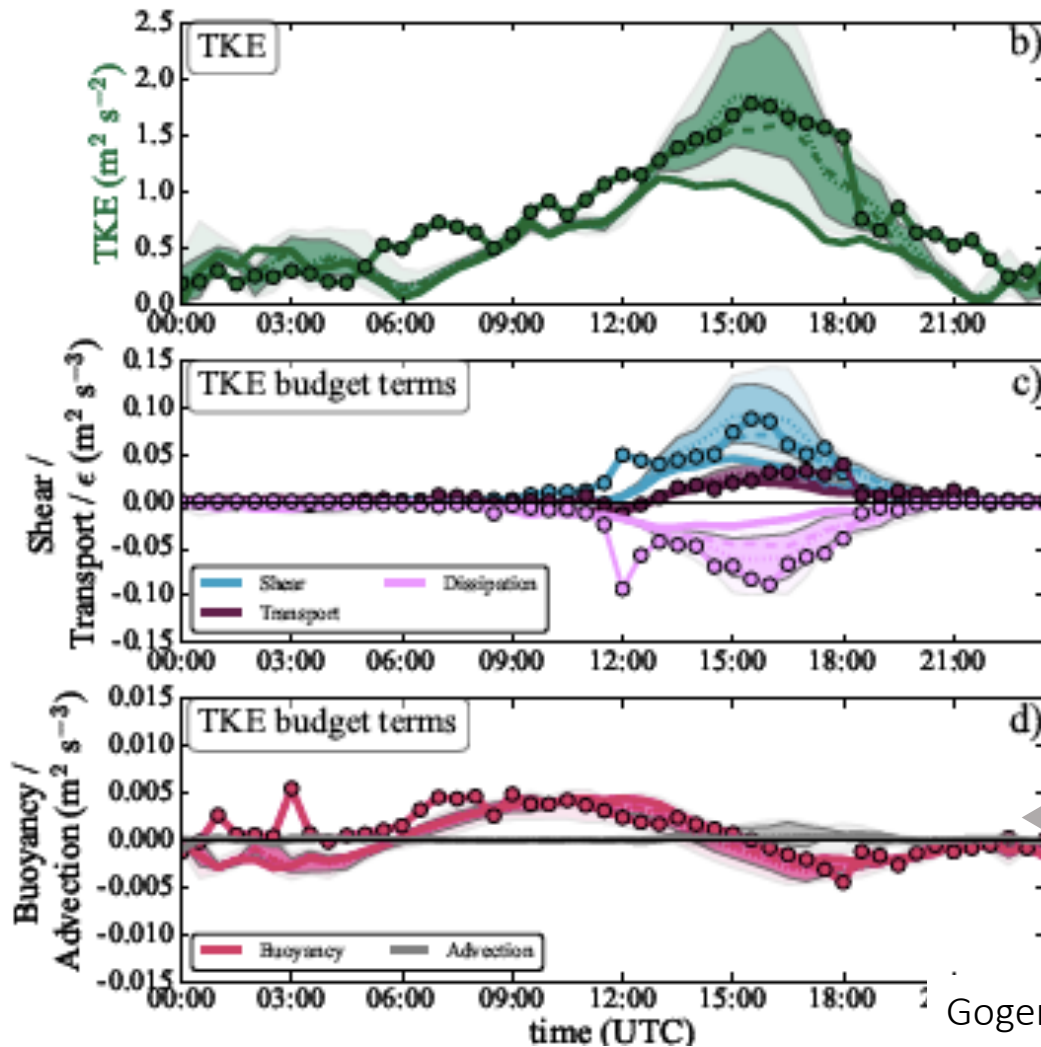
TKE Equation: Advection

site: ‚Kolsass‘

In horizontally homogeneous and flat (HHF) terrain \rightarrow zero

\rightarrow test of NWP model (COSMO) in i-Box

\rightarrow in non-HHF terrain (Inn Valley): advection?



Goger et al 2018

advection term
 \rightarrow very small....

TKE Equation

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

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I: local temporal change: daily cycle!

II: advection

III: shear production

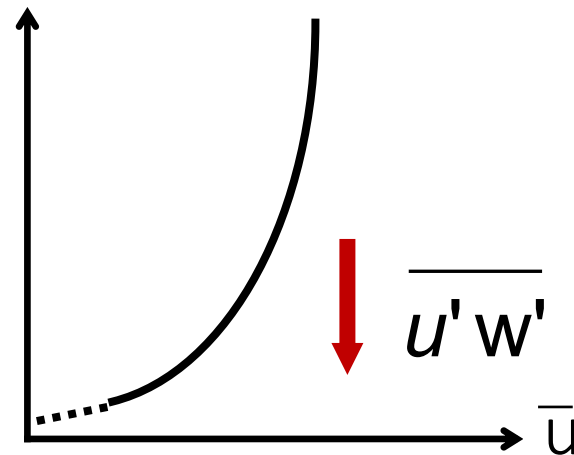
TKE Equation: Shear Production

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = \underbrace{-\overline{u'_i u'_j}}_{\text{III}} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

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$$\frac{\partial \bar{u}}{\partial z} > 0, \quad \overline{u'w'} < 0$$

other ij analogous:
 → characteristic gradient
 → deformation (flux)



TKE Equation: Shear production

→ 'always' positive!

→ large when 'large wind', small when less wind

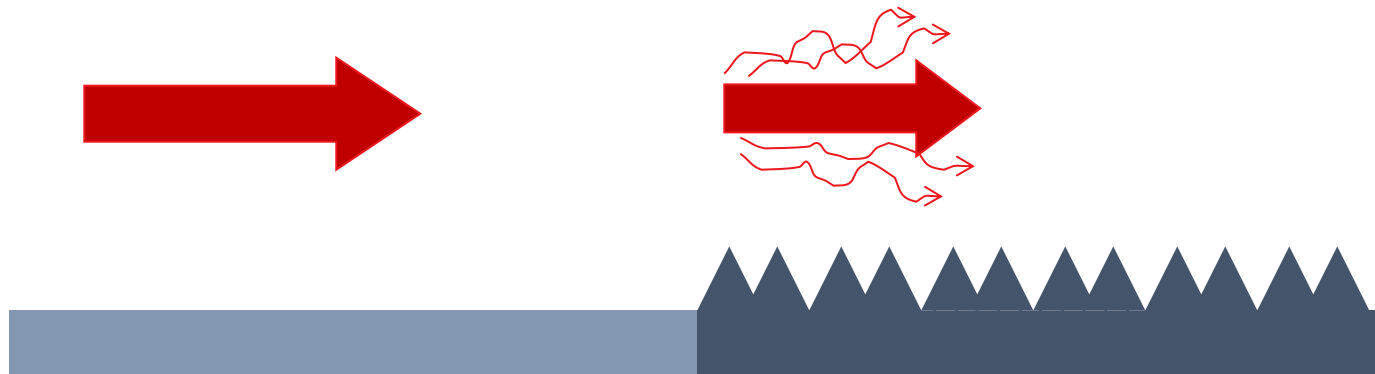
→ interaction of turbulence with mean flow

$$\text{TKE: } \frac{\partial \bar{e}}{\partial t} + \dots = \overline{-u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} + \dots$$

$$\text{MKE: } \frac{\partial \bar{E}}{\partial t} + \dots = \overline{+u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} + \dots$$

TKE Equation: Shear production

- interaction of turbulence with mean flow
- if mean flow becomes (more) turbulent, it loses mean kinetic energy
- and gains TKE



- 'always' production term
- in ideal conditions and neutral stratification: *the* production term

TKE Equation

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

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I: local temporal change: daily cycle!

II: advection

III: shear production

IV: turbulent transport of TKE (its divergence!)

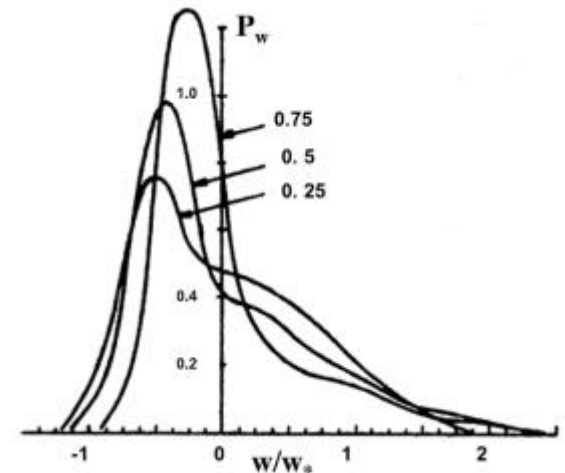
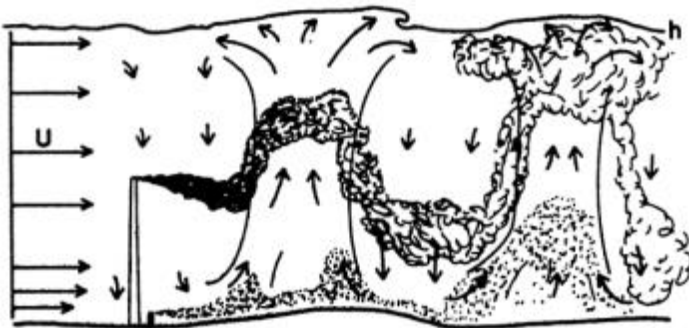
TKE Equation: Turbulent transport

$-\frac{\overline{\partial u'_j e}}{\partial x_j}$ → not magnitude but divergence!
 → horiz. homogeneous: esp. vertical transport

$$-\frac{\overline{\partial u'_3 e}}{\partial x_3} = -\frac{\overline{\partial w' e}}{\partial z} \quad \overline{\partial w' e / \partial z} = \overline{\partial (w' u_1'^2 + w' u_2'^2 + w' u_3'^2) / \partial z}$$

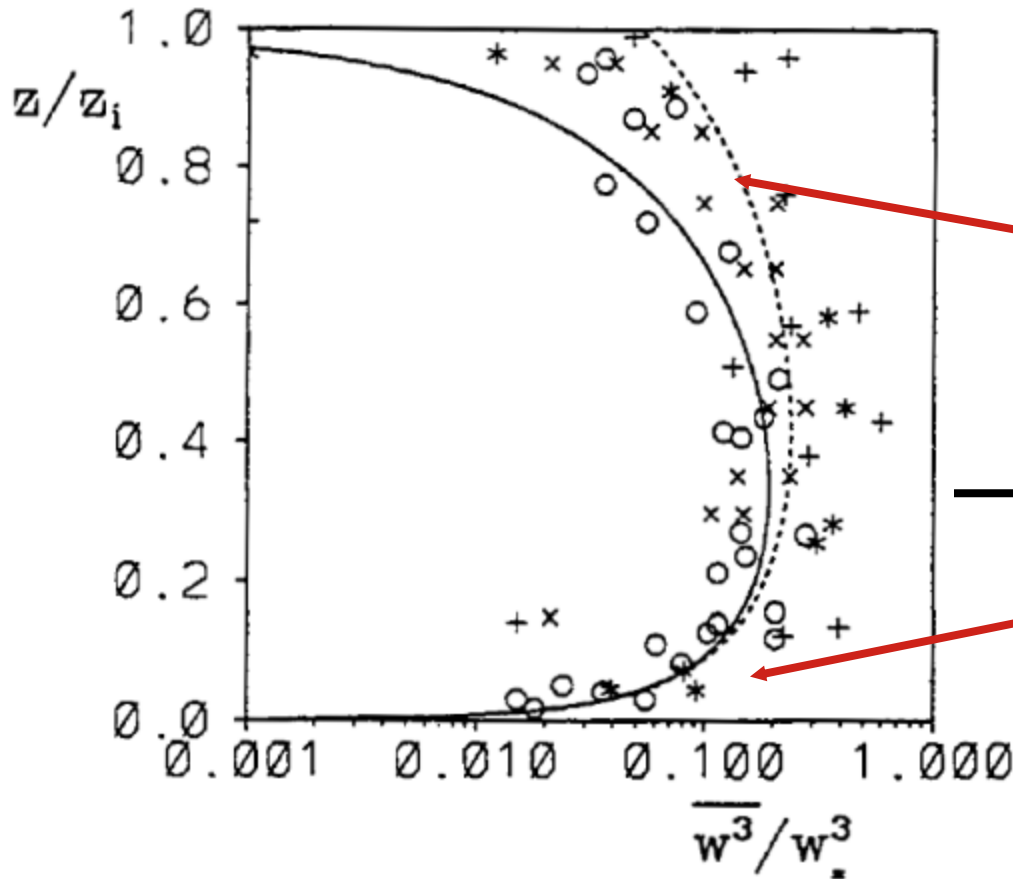
$$\overline{\partial w' e / \partial z} = \overline{\partial (w' u'^2 + w' v'^2 + w'^3) / \partial z}$$

→ CBL, in particular



TKE Equation: Turbulent transport

$$-\overline{\partial w'e/\partial z} = -\overline{\partial(w'u'^2 + w'v'^2 + w'^3)/\partial z}$$



gain term

$$\frac{\overline{\partial w'^3}}{\partial z} < 0$$

IMPORT

$$\frac{\overline{\partial w'^3}}{\partial z} > 0$$

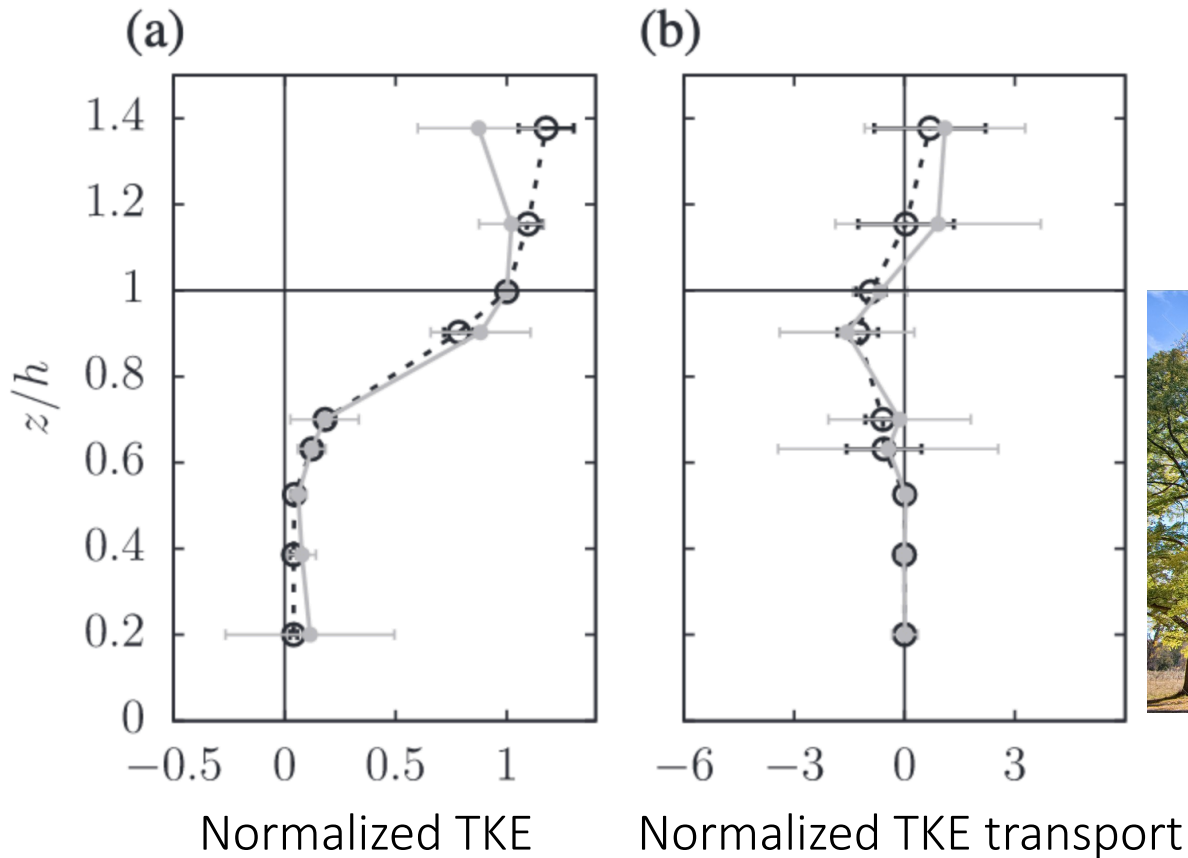
loss term

(negative sign in eq.)

production:
→ EXPORT

TKE Equation: Turbulent transport

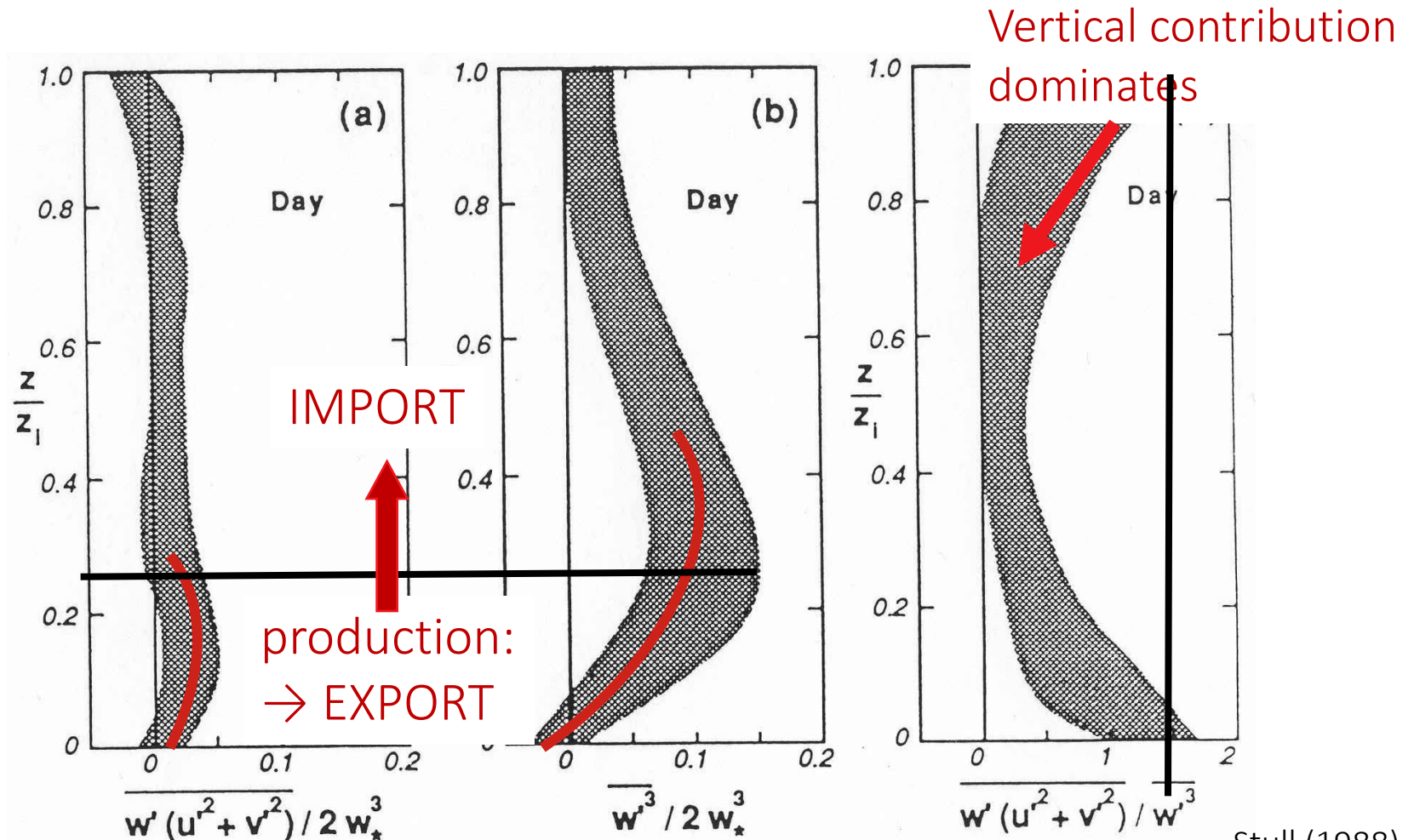
Especially important in canopy flows



Freire et al. 2019

TKE Equation: Turbulent transport

Also, but less pronounced: horizontal components



Stull (1988)

TKE Equation

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

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I: local temporal change: daily cycle!

II: advection

III: shear production

IV: turbulent transport of TKE

V: buoyancy term

TKE Equation: Buoyancy

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} - \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

→ only vertical component (δ_{i3})

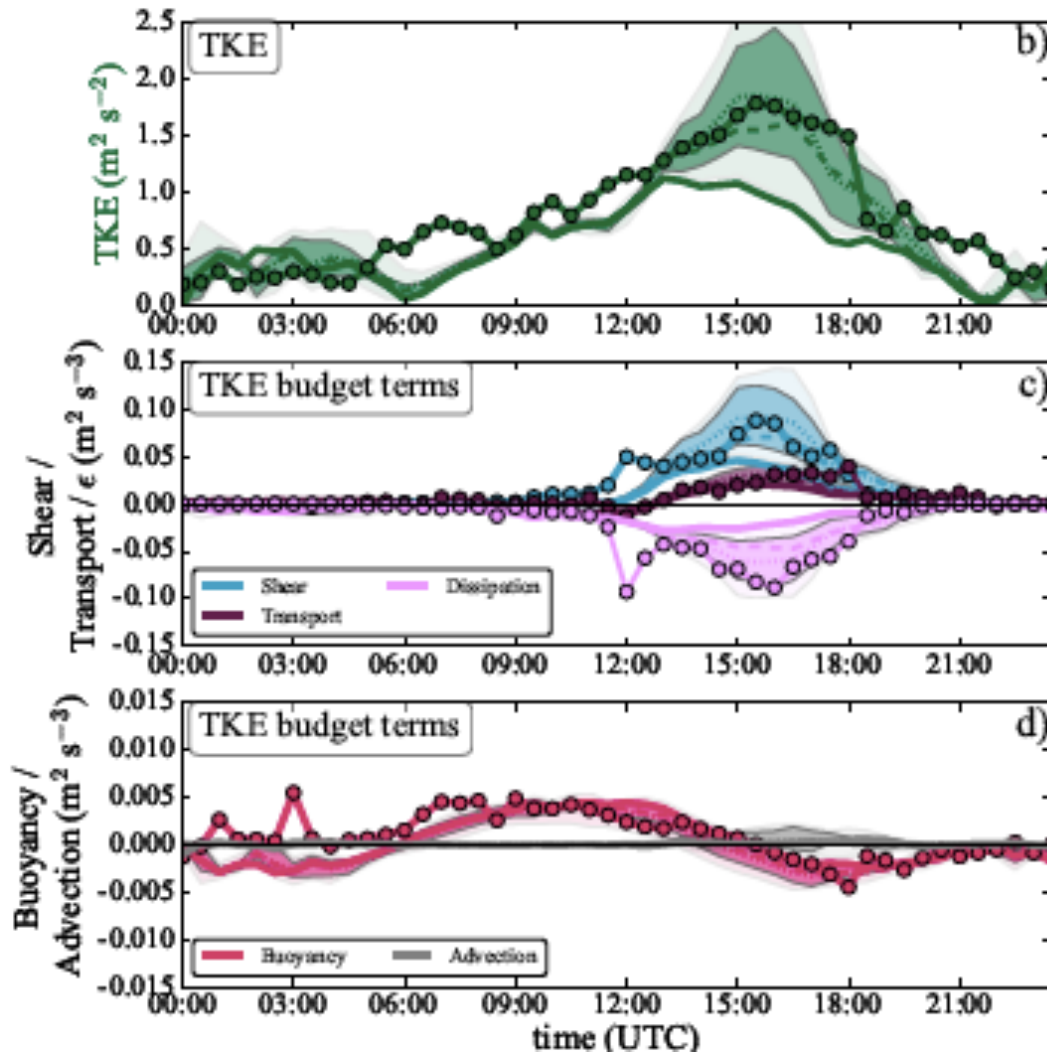
→ production or damping

→ sign of $w' \theta'$

→ Boussinesq approximation: 'now visible'!

TKE Equation: Buoyancy

site: 'Kolsass'



Buoyancy

Goger et al 2018

TKE Equation

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

I II III IV V VI VII

I: local temporal change: daily cycle!

II: advection

III: shear production

IV: turbulent transport of TKE

V: buoyancy term

VI: pressure transport term

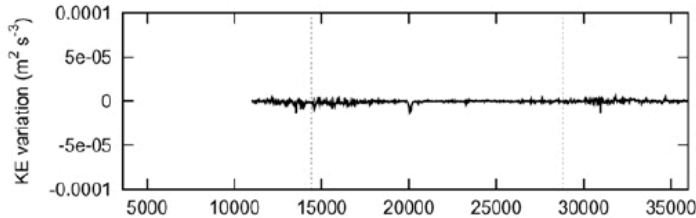
TKE Equation: Pressure redistribution

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \boxed{\frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j}} - \varepsilon$$

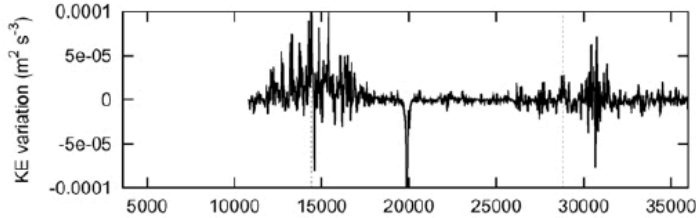
- “Return to Isotropy” term: exchanges energy between u, v and w components
- p' very small normally
- difficult to measure
- often determined as residual
- in numerical models: often parameterized together with TKE transport term

TKE Equation: Pressure redistribution

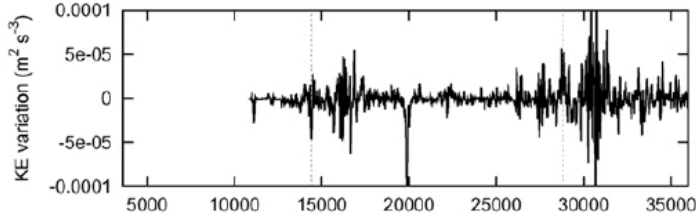
$$\overline{u'_3 \theta' \frac{g}{\theta}}$$



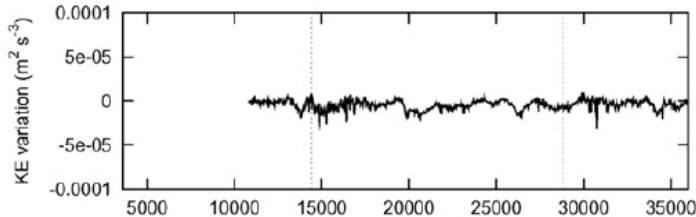
$$-\overline{u'_1 u'_3 \frac{\partial \bar{u}_1}{\partial x_3}}$$



$$\overline{\frac{\partial u'_3 e}{\partial x_3}}$$



$$\frac{1}{\bar{\rho}} \overline{\frac{\partial u'_3 p'}{\partial x_j}}$$



time [s]

Cuxart et al. (2002)

example: CASES '99

(layer: 1.5-30 m)

→ stable night

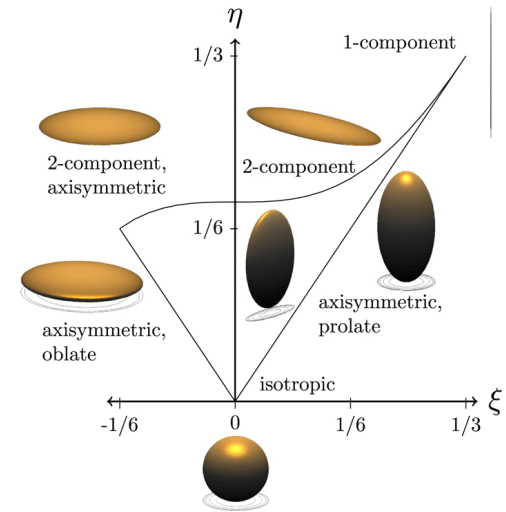
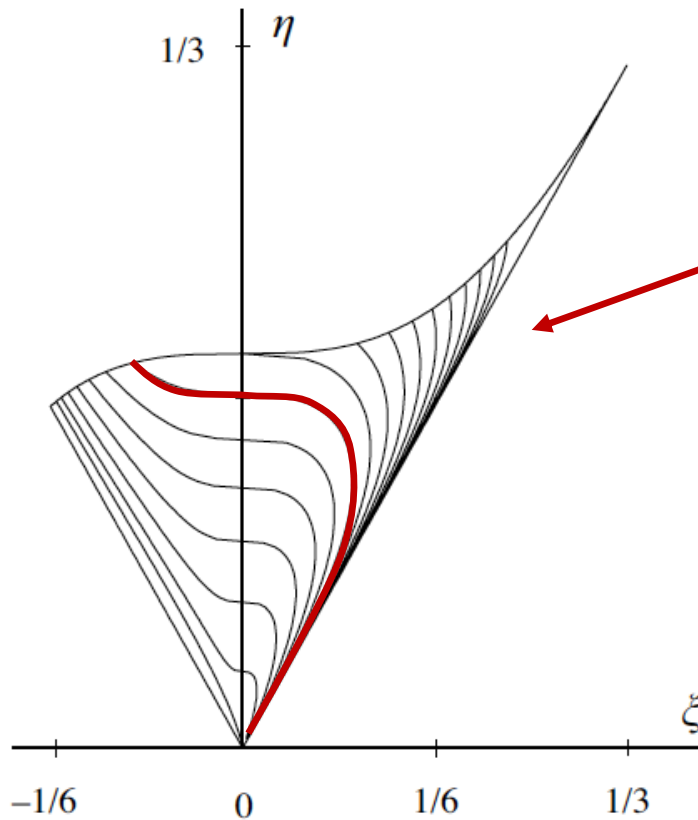
→ pressure transport:
similar *magnitude*

→ 'not correlated' with TKE
transport

→ parameterization maybe
not optimal...

TKE Equation: Pressure redistribution

→ Alternative: Parametrize through Return to isotropy trajectories



Pope (2000)

TKE Equation: Pressure redistribution

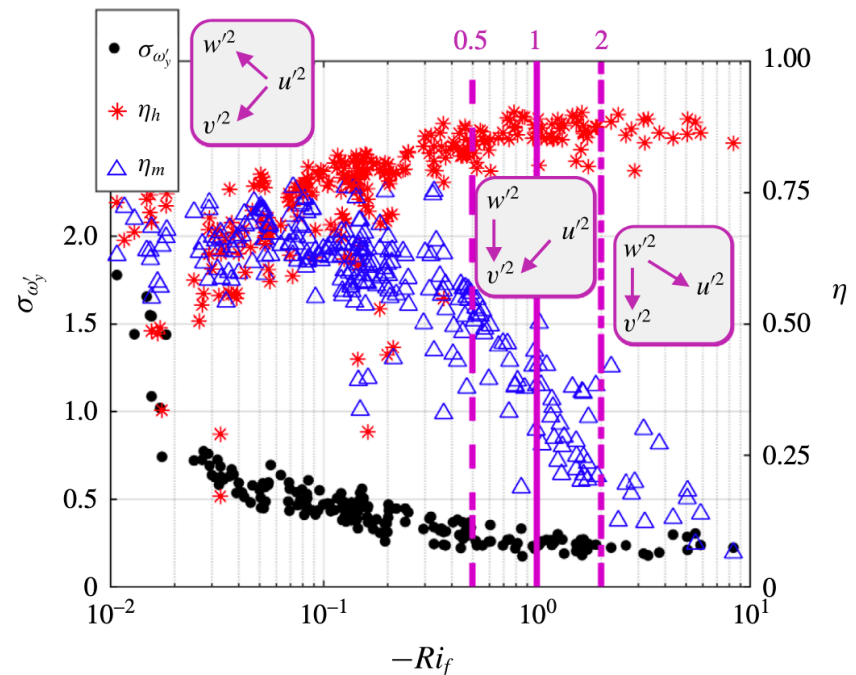
- Pressure redistribution in unstable conditions:
Depends on the TKE source

Shear driven turbulence

- energy in **u** component
- Redistributed to v and w

Buoyancy driven turbulence (free convection)

- energy in **w** component
- Redistributed to u and v



Bou-Zaid et al. (2018)

TKE Equation

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

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I: local temporal change: daily cycle!

II: advection

III: shear production

IV: turbulent transport of TKE

V: buoyancy term

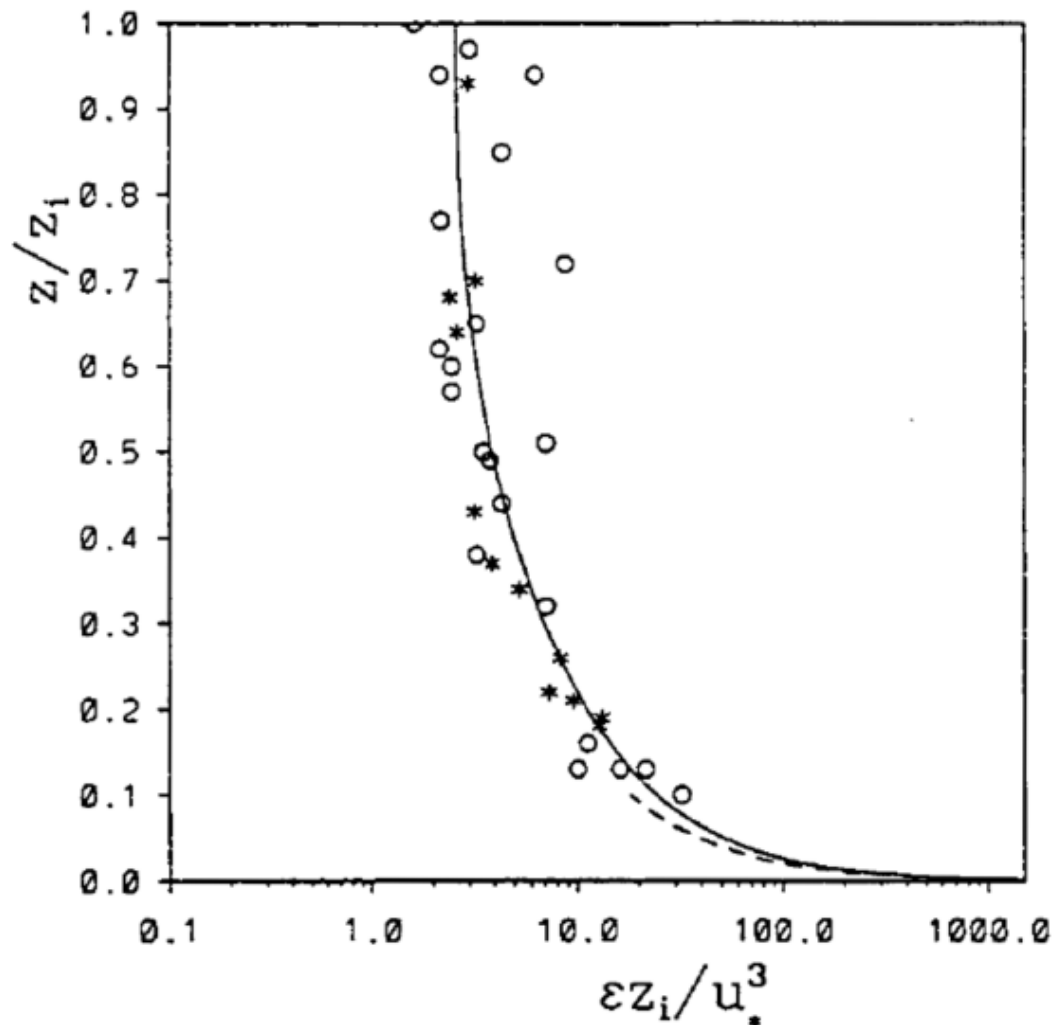
VI: pressure correlation term

VII: dissipation

TKE Equation: Dissipation

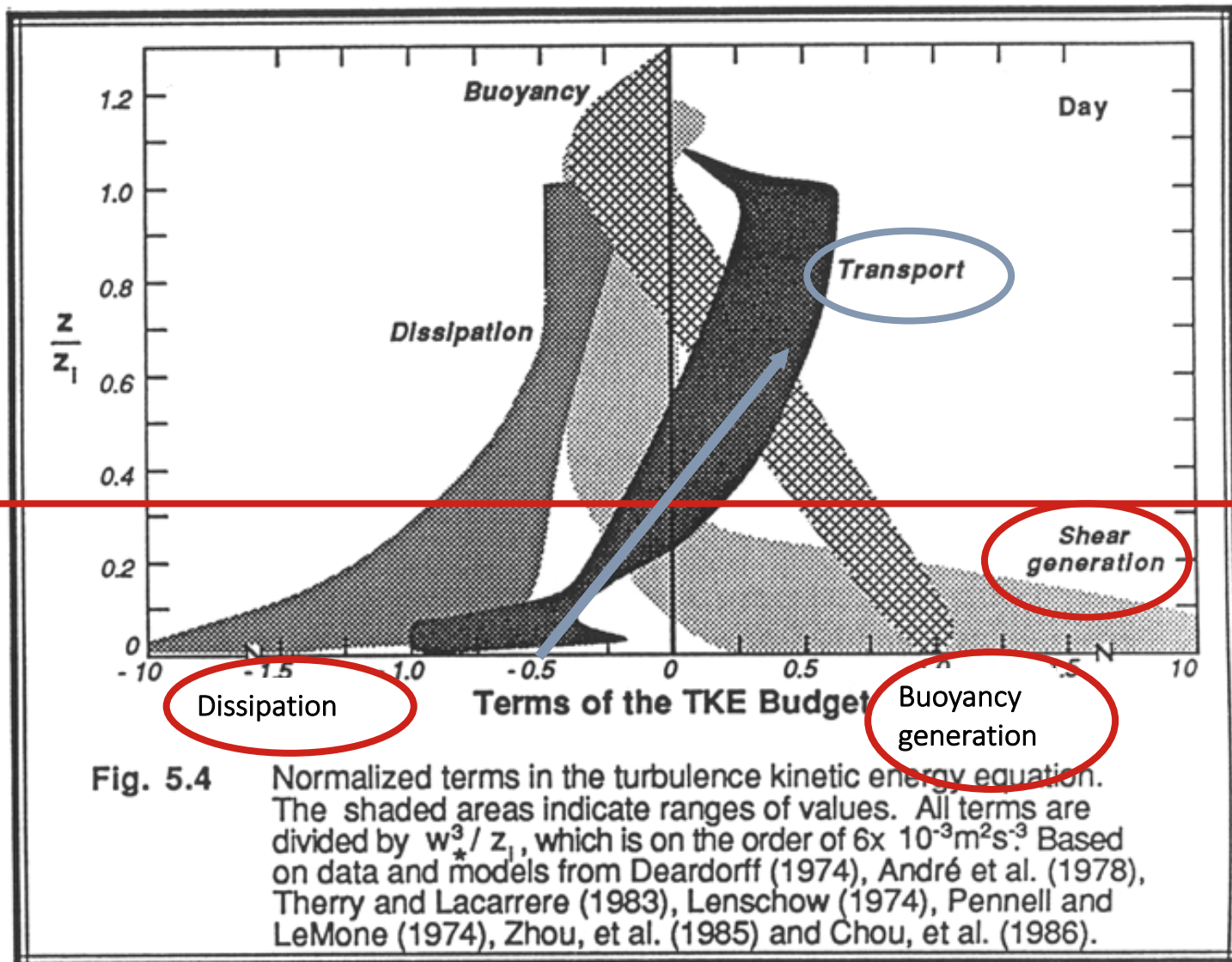
dissipation:

- always negative!
- large of course at the ground



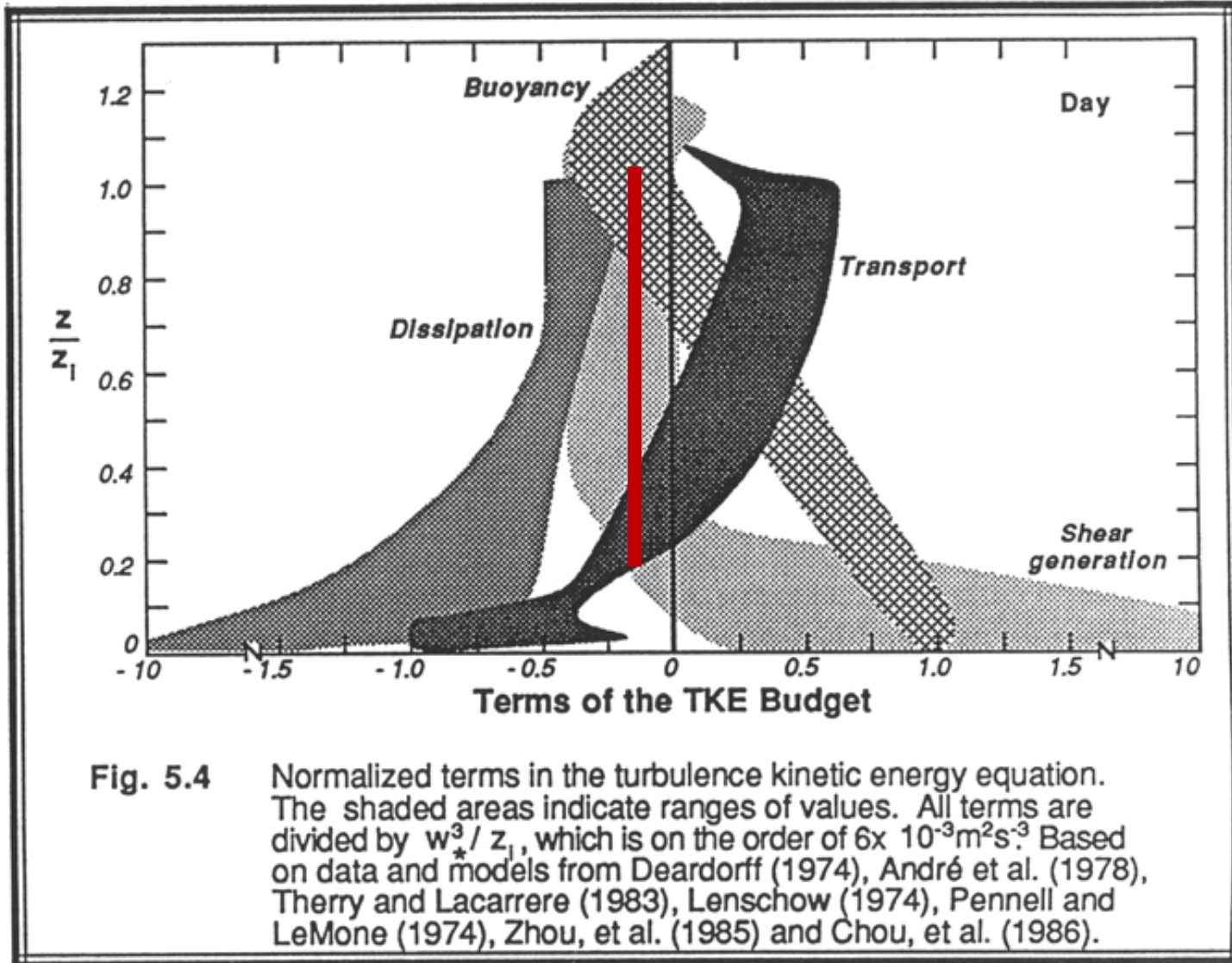
TKE Budget: Day

Stull (1988)



TKE Budget: Negative shear production?

Stull (1988)



TKE Budget: Negative shear production?

Shear production term: $-\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j}$

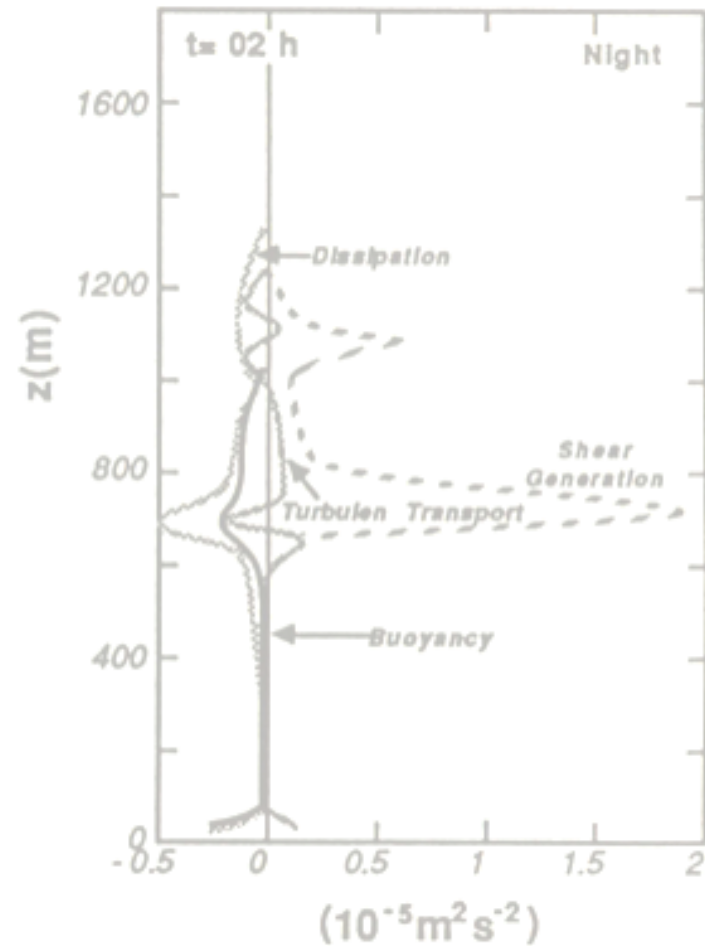
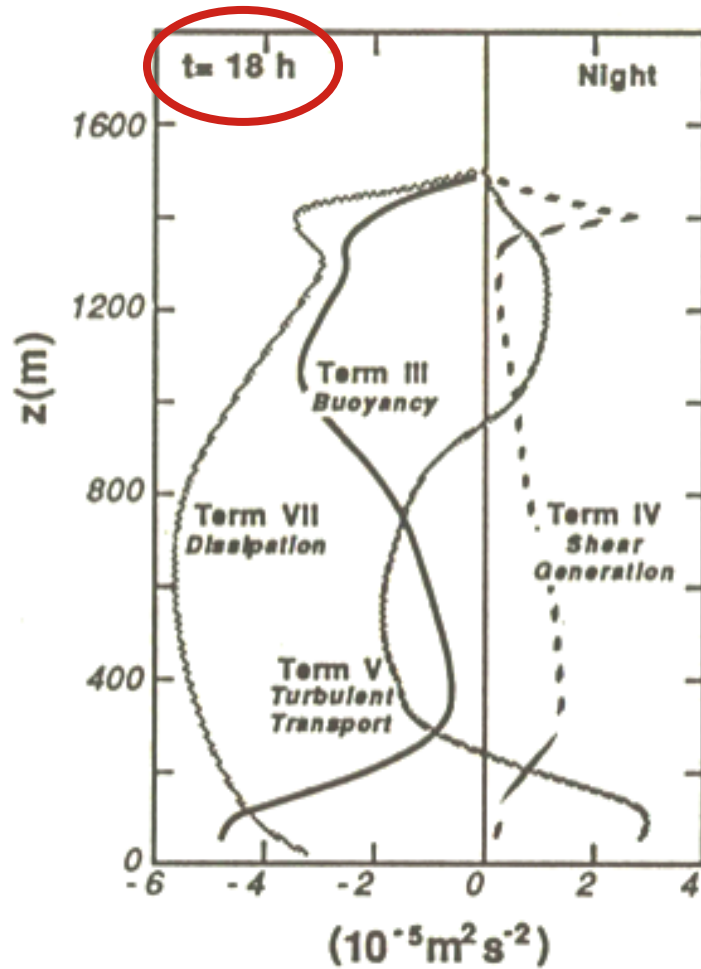
assume: horizontally homogeneous, $\bar{w}=0$

CBL: $\overline{u'w'} < 0$
→ slightly negative $\frac{\partial \bar{u}}{\partial z}$

or: impact of directional shear?

$\overline{v'w'} \gtrless 0$
→ slightly negative $\frac{\partial \bar{v}}{\partial z} \gtrless 0$

TKE Budget: Night



Terms of the TKE Budget

Stull (1988)

TKE Budget

- measure for turbulence
- remember: 1.5 order closure ('TKE closure')
- in numerical models: description of turbulence (transport and mixing) is based on TKE (if not even simpler closure)
- most often: 'BL approximation'
 - only vertical (horizontally homogeneous)
 - K theory as a basis

$$\frac{D\bar{e}}{Dt} = -\overline{u_1' u_3'} \frac{\partial \bar{u}_1}{\partial x_3} - \frac{\overline{\partial u_3' e}}{\partial x_3} + \overline{u_3' \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u_3' p'}}{\partial x_3} - \varepsilon$$

Example: TKE Budget Closure

Goger et al (2018):

- test TKE closure in Inn Valley
- (using COSMO-1 model)

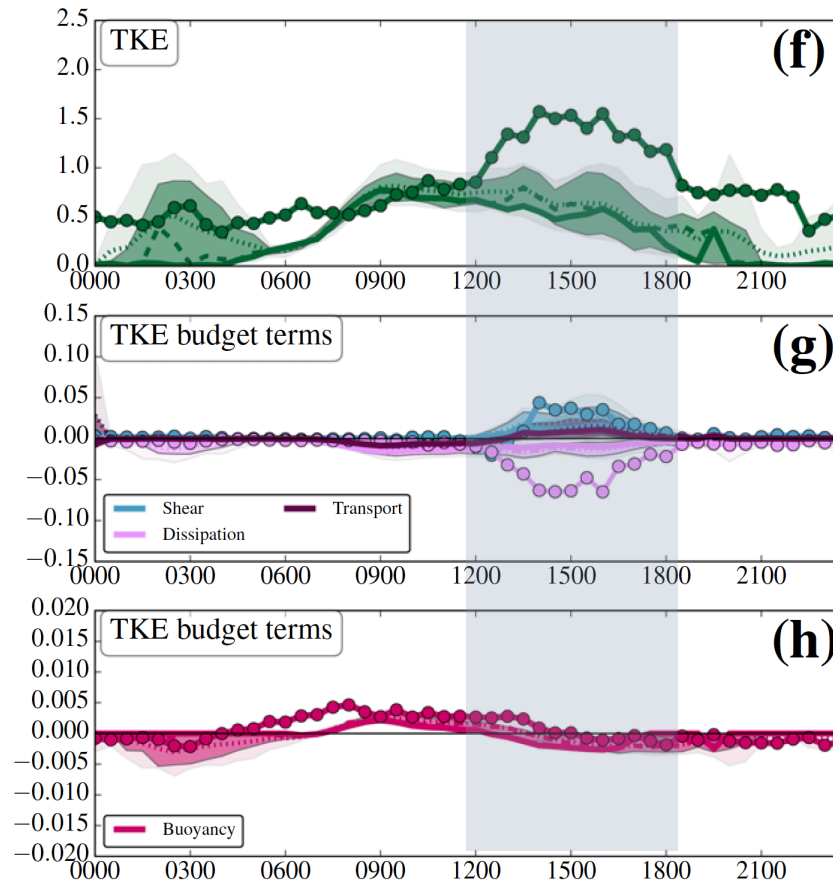
$$\frac{D\bar{e}}{Dt} = -\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3} - \frac{\partial \overline{u'_3 e}}{\partial x_3} + \overline{u'_3 \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_3 p'}}{\partial x_3} - \varepsilon$$

In the model:

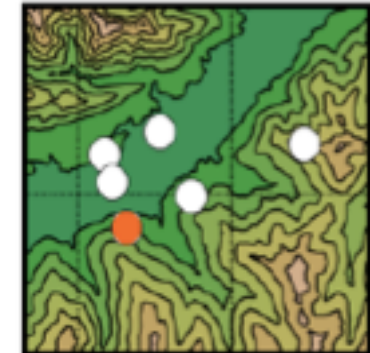
$$\underbrace{\frac{D}{Dt} \left(\frac{q^2}{2} \right)}_{\text{tendency}} = - \underbrace{K_H \frac{g}{\theta} \frac{\partial \theta}{\partial z}}_{\text{buoyancy production/consumption}} + \underbrace{K_M \left[\left(\frac{\partial U}{\partial z} \right)^2 + \left(\frac{\partial V}{\partial z} \right)^2 \right]}_{\text{shear production}} + \underbrace{\frac{1}{\bar{\rho}} \frac{\partial}{\partial z} \left[\alpha_{\text{tke}} \bar{\rho} \lambda_l q \frac{\partial}{\partial z} \left(\frac{q^2}{2} \right) \right]}_{\text{vertical turbulent transport}} - \underbrace{\frac{q^3}{B_1 \lambda_l}}_{\text{dissipation}}$$

Example: TKE Budget Closure

Daytime TKE budget: 1D turbulence closure



Steep slope



Afternoon:

- Vertical wind shear generation of TKE due to valley wind
- TKE underestimated

Example: TKE Budget Closure

- add horizontal terms (but not all): ‘hybrid TKE’
- advection
- horizontal shear production

New Hybrid parametrization in the model:

$$\underbrace{\frac{\partial}{\partial t} \left(\frac{q^2}{2} \right) + \bar{u} \nabla \left(\frac{q^2}{2} \right)}_{\text{tendency \& advection}} = - \underbrace{K_H \frac{g}{\theta} \frac{\partial \theta}{\partial z}}_{\text{buoyancy production/consumption}}$$

$$+ \underbrace{(c\Delta x)^2 \left[\left(\frac{\partial U}{\partial x} \right)^2 + \left(\frac{\partial V}{\partial y} \right)^2 + \frac{1}{2} \left(\frac{\partial U}{\partial y} + \frac{\partial V}{\partial x} \right)^2 \right]^{\frac{3}{2}}}_{\text{horizontal shear production}}$$

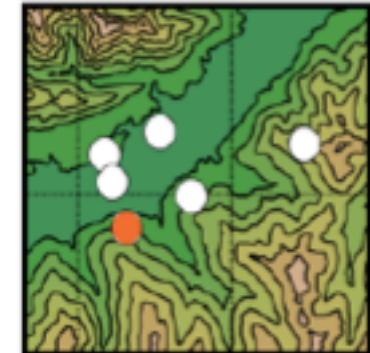
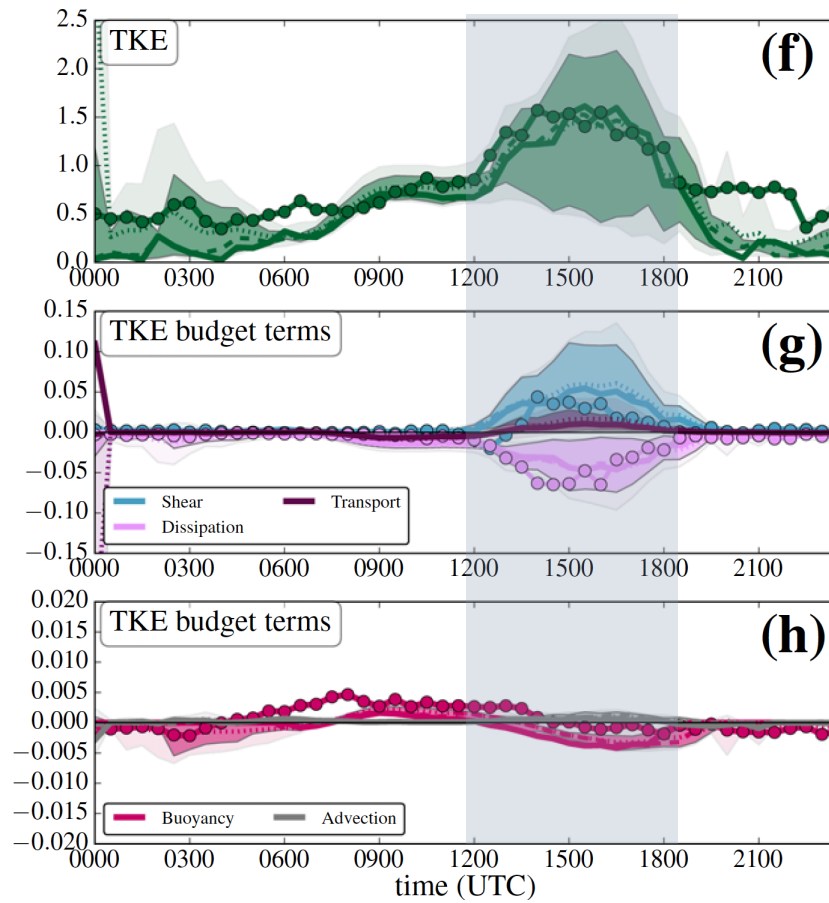
$$+ \underbrace{K_M \left[\left(\frac{\partial U}{\partial z} \right)^2 + \left(\frac{\partial V}{\partial z} \right)^2 \right]}_{\text{vertical shear production}} + \underbrace{\frac{1}{\bar{\rho}} \frac{\partial}{\partial z} \left[\alpha_{\text{tke}} \bar{\rho} \lambda_l q \frac{\partial}{\partial z} \left(\frac{q^2}{2} \right) \right]}_{\text{vertical turbulent transport}} - \underbrace{\frac{q^3}{B_1 \lambda_l}}_{\text{dissipation}}$$

c... Smagorinsky constant, Δx ... grid length

Example: TKE Budget Closure

Daytime TKE budget: Hybrid turbulence closure

Steep slope



Afternoon:

- 3D shear production
- Correct TKE simulation

TKE Budget

- measure for turbulence
- remember: 1.5 order closure ('TKE closure')
- in numerical models: description of turbulence (transport and mixing) is based on TKE (if not even simpler closure)
- most often: 'BL approximation'
- basis for stability measures

Stability measures

TKE budget:

- measure of production and damping of TKE
- and therefore: of turbulence
- if more TKE is produced than is removed
 flow becomes more turbulent (more *dynamically* unstable)
- if less TKE is produced than is removed
 turbulence dies out

Stability Measures:

Static stability measure:

$$\rightarrow \partial \bar{\theta} / \partial z$$

Dynamic stability measure:

- ratio of production/damping of TKE

Flux Richardson number

L.F. Richardson:

idealizing assumption:

- quasi-stationarity
- horizontally homogeneous
- no subsidence: $\overline{w}=0$
- coordinate system || mean wind

TKE-Budget:

$$0 = \underbrace{-\overline{u'_1 u'_3} \frac{\partial \overline{u}_1}{\partial x_3}}_{\text{relevant}} - \frac{\overline{\partial u'_3 e}}{\partial x_3} + \underbrace{\overline{u'_3 \theta'} \frac{g}{\overline{\theta}}}_{\text{relevant}} - \frac{1}{\overline{\rho}} \frac{\partial \overline{u'_3 p'}}{\partial x_3} - \varepsilon$$

assume: just these two are relevant

Flux Richardson number

Def: $R_f = \frac{g}{\theta} \frac{\overline{u'_3 \theta'}}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}}$

Annotations:
 - A red oval highlights $\overline{u'_3 \theta'}$ with a red arrow pointing to it labeled "sign".
 - A black oval highlights the denominator $\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}$ with a black arrow pointing to it labeled " < 0 ".

$R_f = \text{buoyancy term} / \text{shear production}$

neutral:	$R_f = 0$	$\overline{u'_3 \theta'} = 0$	} Static stability
unstable:	$R_f < 0$	$\overline{u'_3 \theta'} > 0$	
stable:	$R_f > 0$	$\overline{u'_3 \theta'} < 0$	

Flux Richardson number

$$R_f = \frac{g}{\bar{\theta}} \frac{\overline{u'_3 \theta'}}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}}$$

Unstable (statically and dynamically):

- friction *and* buoyancy contribute to production
- no theoretical limit...
- mostly > -10

Stable:

- $R_f > 1$ damping $>$ production (**turbulence ceases**)
- $0 < R_f < 1$ statically stable,
dynamically unstable
(**turbulence can exist**)

Critical Richardson number

However: until now dissipation neglected!

production: shear production

damping: buoyancy + dissipation

'critical':

$$\frac{\frac{g}{\theta} \overline{u'_3 \theta'} - \varepsilon}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}} = 1 = R_f - \frac{\varepsilon}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}} \quad \rightarrow \quad R_{f,crit} = 1 + \frac{\varepsilon}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}}$$

Critical Richardson number

$$R_{f,crit} = 1 + \frac{\varepsilon}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}} \quad \begin{aligned} &\rightarrow \varepsilon > 0 \\ &\rightarrow \overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3} < 0 \end{aligned}$$

→ 2nd term: always negative!

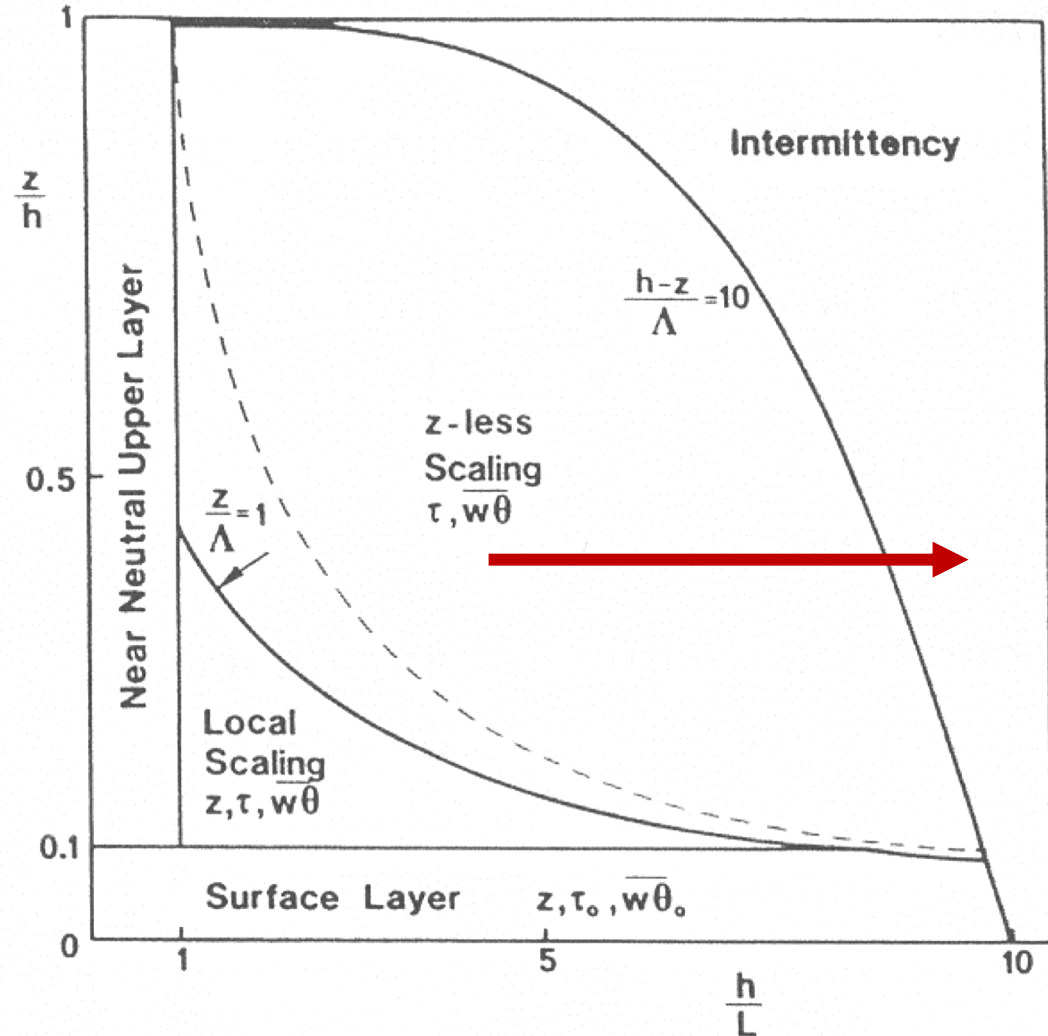
$$0 < R_{f,crit} < 1$$

→ larger Richardson number: intermittency

Critical Richardson number

Scaling regimes stable

→ larger R_f
corresponds to
intermittency



Critical Richardson number

$$0 < R_{f,crit} < 1$$

→ what is its value?

→ apparently dependent on the flow

$$R_{f,crit} = 1 + \frac{\varepsilon}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}}$$

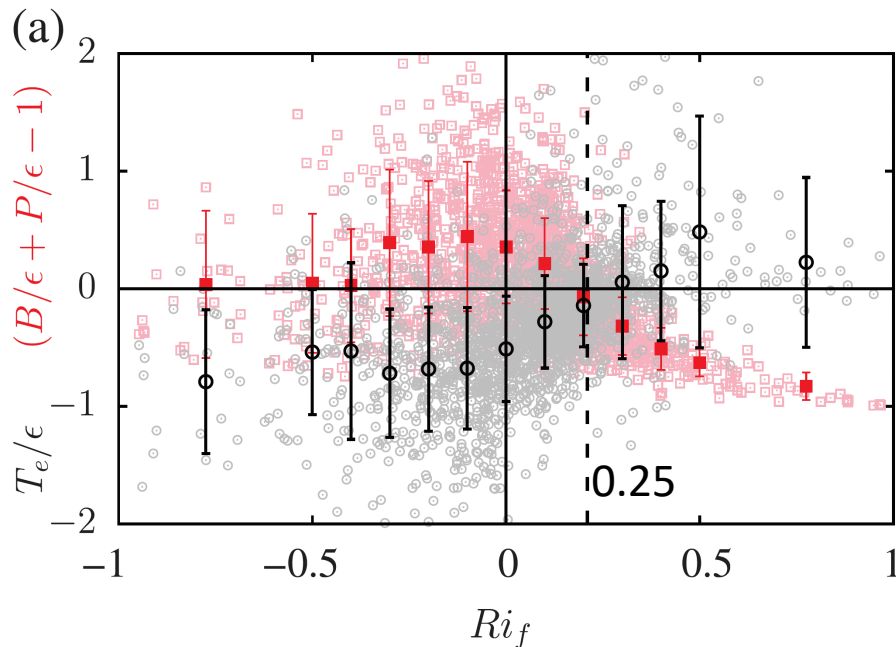
→ often:

$$R_{f,crit} \approx 0.25$$

Critical Richardson number

Limits of Applicability:

- We observe turbulence also for $R_{if} > R_{ic}$
- Assumptions are not met: other terms of the equation important
- Need to modify $R_{f,crit}$ to include turbulent transport



Freire et al. 2019

Critical Richardson number

$$0 < R_{f,crit} < 1$$

→ what is its value?

→ apparently dependent on the flow

$$R_{f,crit} = 1 + \frac{\varepsilon}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}}$$

→ often:

$$R_{f,crit} \approx 0.25$$

→ even more often:

$$Ri_c \approx 0.25$$

→ what is Ri (Ri_c)?

Gradient Richardson number

R_f : requires turbulent fluxes...

- often not available
- approximation with K-Theory
- assumption: $K_m = K_H$

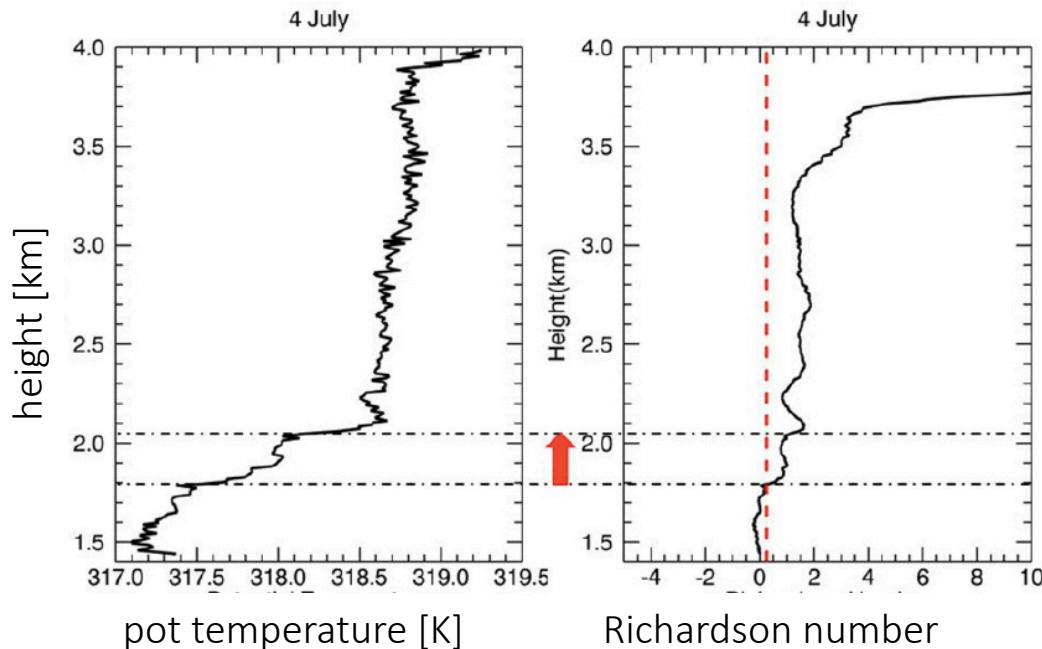
$$R_f = \frac{g}{\bar{\theta}} \frac{\overline{u'_3 \theta'}}{\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3}} \approx \frac{g}{\bar{\theta}} \frac{-\cancel{K_H} \frac{\partial \bar{\theta}}{\partial x_3}}{-\cancel{K_M} \frac{\partial \bar{u}_1}{\partial x_3} \cdot \frac{\partial \bar{u}_1}{\partial x_3}}$$

Def: $Ri = \frac{g}{\bar{\theta}} \frac{(\partial \bar{\theta} / \partial x_3)}{(\partial \bar{u}_1 / \partial x_3)^2}$

R_i : gradient Richardson number
→ easier to determine than R_f
→ based on TKE-budget, too

Gradient Richardson number

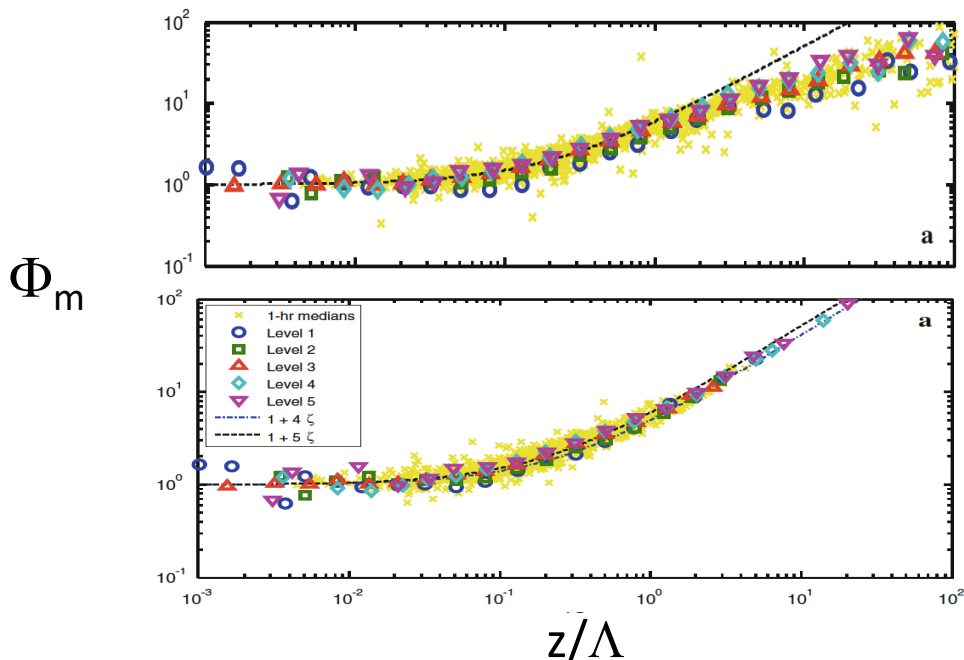
- again: critical value, Ri_c (≈ 0.25)
→ for $Ri > Ri_c$: TKE damping > TKE production
- use Ri_c to find BL top ('ABL is the layer of the atmosphere where turbulence dominates...')



Feng et al. (2015)

Gradient Richardson number

- Theoretically
 - no critical value for gradient Richardson number
 - often 0.25 or 0.21 are used
- Experiments: show turbulence at $Ric > 0.21$ behaves differently



Grachev et al. (2013)

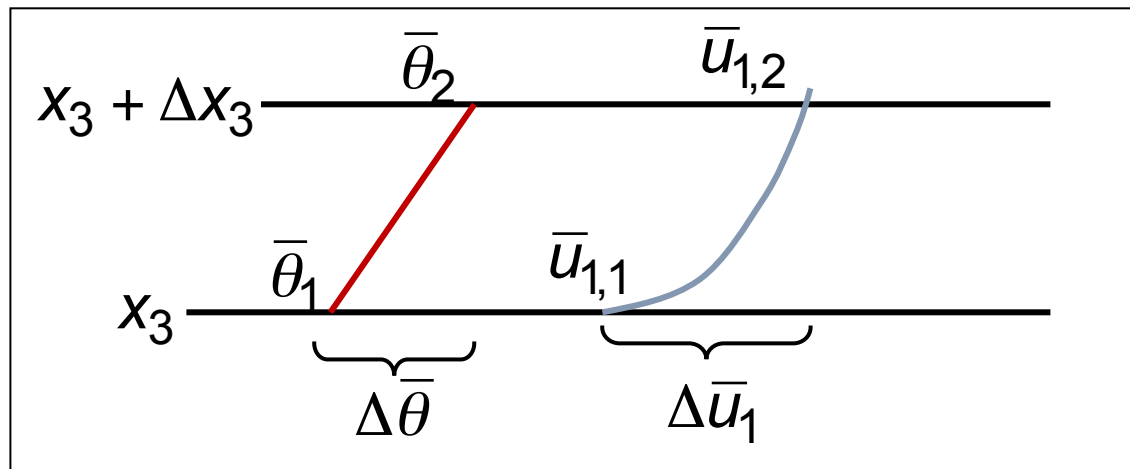
Bulk Richardson number

Even simpler:

→ gradients replaced by differences:

$$Ri = \frac{g}{\bar{\theta}} \frac{\partial \bar{\theta} / \partial x_3}{(\partial \bar{u}_1 / \partial x_3)^2} \approx \frac{g}{\bar{\theta}} \frac{\Delta \bar{\theta} / \Delta x_3}{(\Delta \bar{u}_1 / \Delta x_3)^2} = \boxed{\frac{g}{\bar{\theta}} \frac{\Delta \bar{\theta} \Delta x_3}{(\Delta \bar{u}_1)^2}} = Ri_B$$

→ *one value* for entire layer



→ often as measure of the stability for entire *PBL*

Stability in the surface layer

MOST: 'everything' scales with z/L
→ TKE-budget as well

$$\frac{\bar{a}}{a_*} = f_a\left(\frac{z}{L}\right)$$

TKE equation (non-dimensional):

- multiply with kx_3 / u_*^3
- replace turbulent fluxes by *surface fluxes*
- (quasi) stationary
- horizontally homogeneous

$$\frac{\partial \bar{e}}{\partial t} + \bar{u}_j \frac{\partial \bar{e}}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \overline{u'_j e}}{\partial x_j} + \delta_{i3} \overline{u'_i \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_j p'}}{\partial x_j} - \varepsilon$$

$$0 = -\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3} - \frac{\partial \overline{u'_3 e}}{\partial x_3} + \delta_{33} \overline{u'_3 \theta'} \frac{g}{\bar{\theta}} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_3 p'}}{\partial x_3} - \varepsilon$$

Stability in the surface layer

$$0 = -\overline{u'_1 u'_3} \frac{\partial \bar{u}_1}{\partial x_3} \frac{kx_3}{u_*^3} - \frac{\partial \overline{u'_3 e}}{\partial x_3} \frac{kx_3}{u_*^3} + \overline{u'_3 \theta'} \frac{g}{\bar{\theta}} \frac{kx_3}{u_*^3} - \frac{1}{\bar{\rho}} \frac{\partial \overline{u'_3 p'}}{\partial x_3} \frac{kx_3}{u_*^3} - \varepsilon \frac{kx_3}{u_*^3}$$

$$0 = \frac{kx_3}{u_*} \frac{\partial \bar{u}_1}{\partial x_3} - \frac{kx_3}{u_*^3} \frac{\partial \overline{u'_3 e}}{\partial x_3} - \frac{kx_3 g (\overline{u'_3 \theta'})_0}{\bar{\theta} u_*^3} - \frac{kx_3}{\bar{\rho} u_*^3} \frac{\partial \overline{u'_3 p'}}{\partial x_3} - \frac{kx_3}{u_*^3} \varepsilon$$

$$= \Phi_m - \Phi_{tr} + \frac{z}{L} - \Phi_p - \Phi_\varepsilon$$

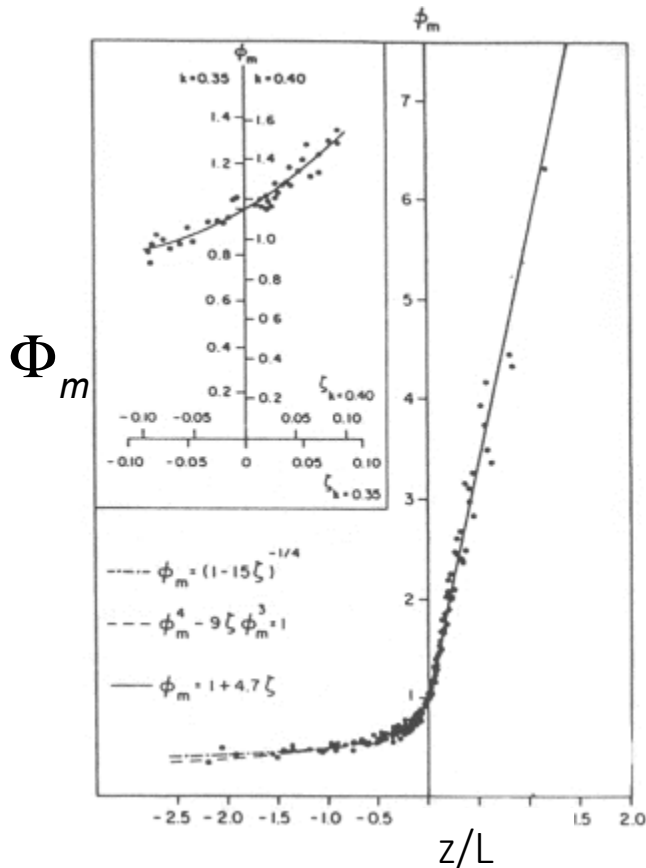
→ each term a function of z/L

→ z/L : stability measure

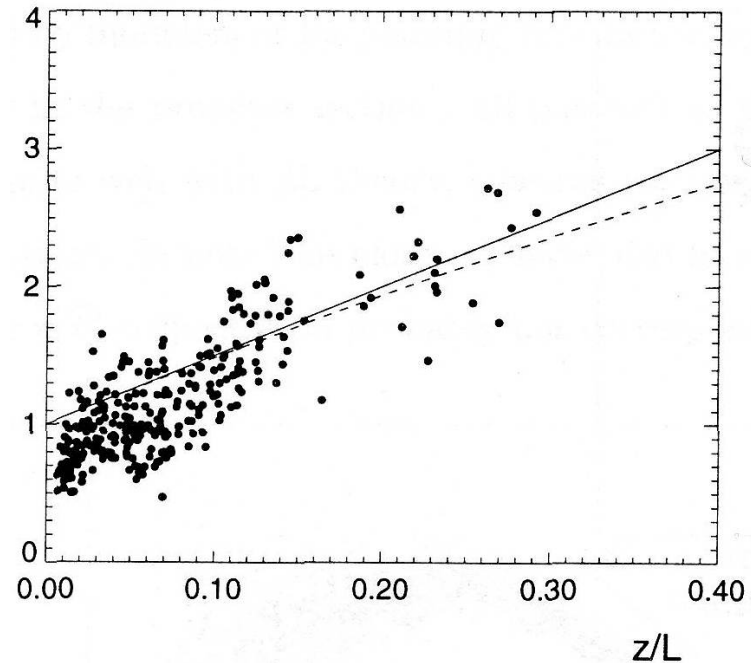
Stability in the surface layer

z/L : also corresponds to ratio buoyancy / shear production

all Φ functions: dependent on z/L (only one π -group)

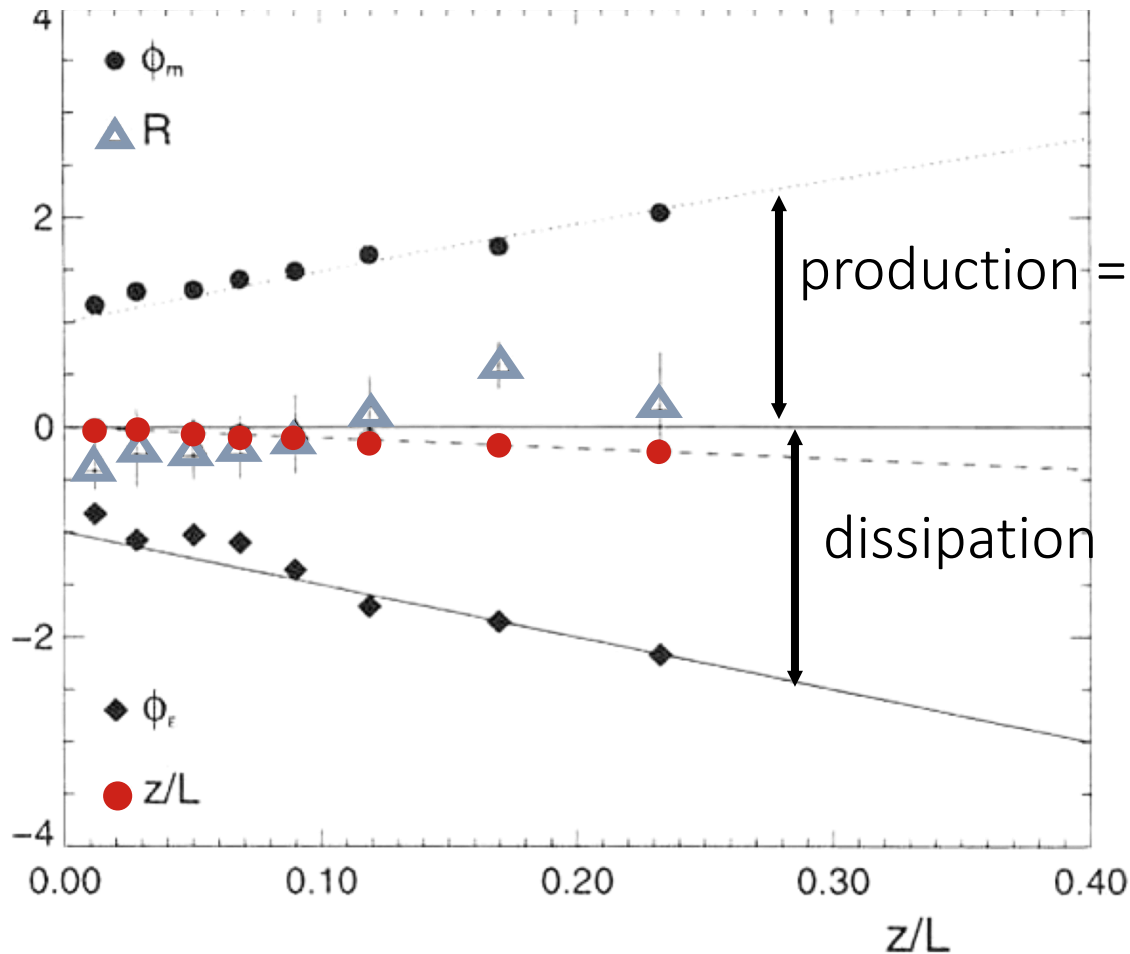


Φ_ε : Scaled Dissipation



TKE budget in the Surface layer

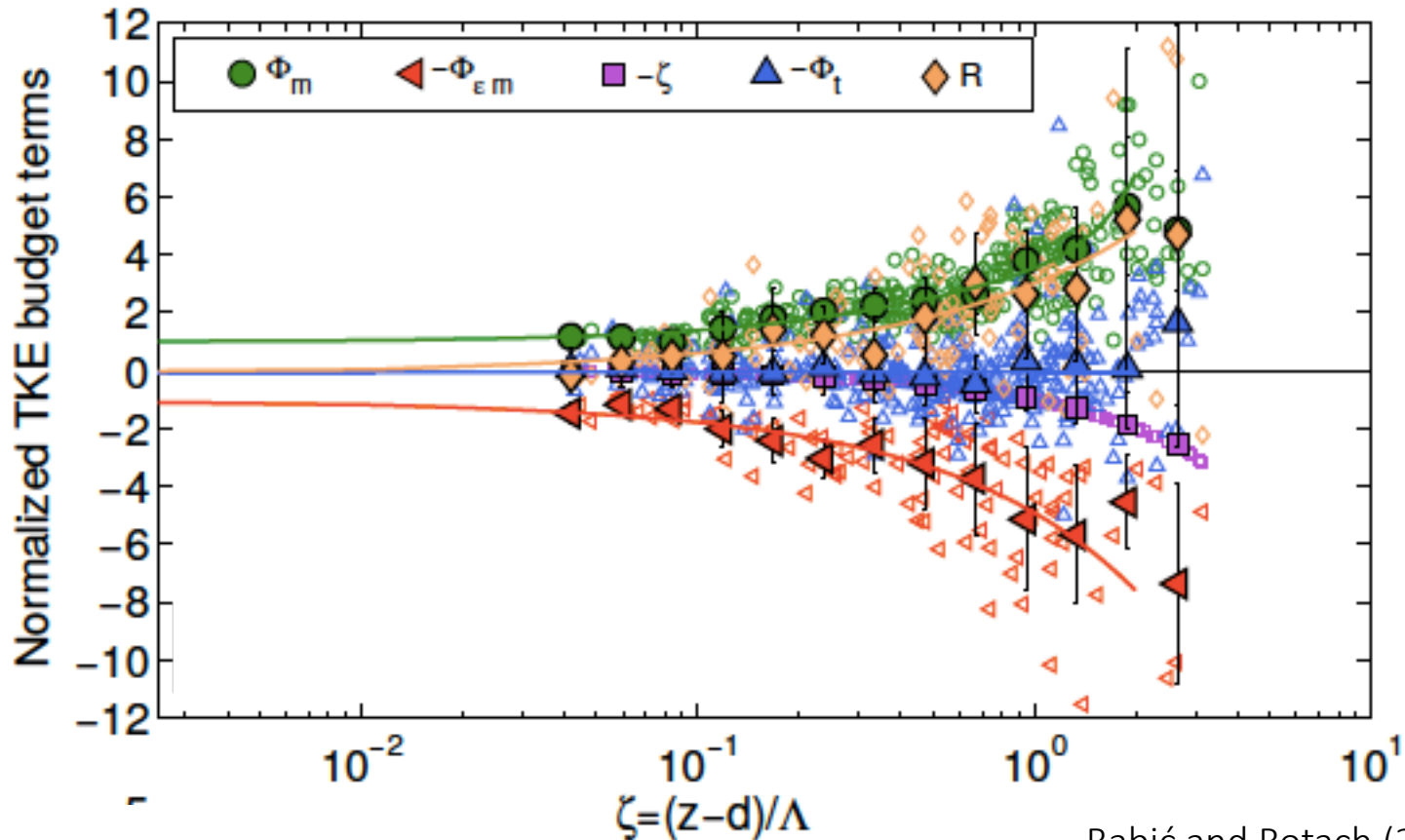
Scaled Components of TKE budget in SL as function of z/L



Forrer (1997)

TKE budget in the Surface layer

→ transition layer over a forest stand



Babić and Rotach (2018)

Turbulent potential energy

Classically:

- In stable conditions when $R_f > R_{fc}$
- local shear cannot maintain turbulence
- flow becomes laminar

Zilitinkevich et al. 2008:

- Turbulence can exist beyond critical R_f
- We need to examine also Turbulent Potential energy
- It is Total Turbulent energy (TTE) that determines if mixing is strong or weak (not turbulent and laminar)
- In atmosphere and ocean turbulence persists to $Ri \gg 1$

Turbulent potential energy

Turbulent potential energy $E_p = \left(\frac{g}{\theta N}\right)^2 \frac{1}{2} \overline{\theta'^2}$

Budget equation for TPE

$$\frac{DE_p}{Dt} + \frac{\partial}{\partial z} \left(\left(\frac{g}{\theta N}\right)^2 \overline{\theta'^2 w'} \right) = - \left(\frac{g}{\theta N}\right)^2 \overline{\theta' w'} - \left(\frac{g}{\theta N}\right)^2 \epsilon_\theta$$

Transport Potential temperature flux Dissipation

Link to TKE equation

Summary

TKE conservation equation:

- shear production
- buoyancy
- transport (TKE and pressure)
- dissipation
- all this: in the BL approximation (vertical)

TKE budget as basis for

- *dynamical* stability measures
- R_f , R_i , R_B
- Surface layer: z/L

More accurate way of modeling stable turbulence

- TKE + TPE approach